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THE UNITED STATES PATENT AND TRADEMARK OFFICE
Utility Patent Application Transmittal Letter

Date : December 4, 2001
Reference No.: 0007975-0018

United States Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202
Box Patent Application

Enclosed for filing is a patent application (specification, claims, Declaration and Power of Attorney)

ENTITLED: TUNABLE HOLOGRAPHIC DROP FILTER WITH QUASI PHASE-CONJUGATE FIBER COUPLING

INVENTOR(S): Demetri Psaltis; Christophe Moser; Greg Steckman; Karsten Buse; Ingo Nee; Joerg Hukriede and Joseph W. Goodman

() This application is a _____ of Application No. _____, filed _____.
A copy of this letter is enclosed for filing in the prior application file.

CALCULATION OF FEES				
ITEM	NUMBER OF CLAIMS FILED MINUS BASE*	NUMBER OF CLAIMS OVER BASE X RATE	\$ AMOUNT	\$ FEE
A TOTAL CLAIM FEES	15-20* = 0	0 x \$ 9.00	0	
B INDEPENDENT CLAIMS FEE**	2-3 = 0	0 x \$ 42.00	0	
C SUBTOTAL			SMALL ENTITY FEE = A + B LARGE ENTITY FEE = 2 x (A + B)	
D BASIC FEE*			SMALL ENTITY FEE = \$ 370.00 LARGE ENTITY FEE = \$ 740.00	370.00
E MULTIPLE-DEPENDENT CLAIMS FEE			SMALL ENTITY FEE = \$ 140.00 LARGE ENTITY FEE = \$ 280.00	0
F ASSIGNMENT RECORDING FEE			\$ 40.00	0
G TOTAL FEE (ADD LINES C, D, E AND F)				415.00

**List Independent Claims: 1 and 6

☒ This application is incomplete because:
☒ No filing fee is enclosed. (If no filing fee is enclosed, ignore authorization of the Deposit Account.)
☒ No executed declaration.

Also enclosed are:

☐ Check for \$ _____
☒ Small entity claimed under 37 CFR 1.27.
☐ A Petition for Extension of Time for the parent application and the required fee.
☐ _____ Sheet(s) of informal drawings, FIGS. 1 to _____. Formal drawings will be sent after Notice of Allowance.
☒ 2 Sheet(s) of formal drawings, FIGS. 1 to 2.
☐ An Assignment of the invention with the Recordation Form Cover Sheet.
☐ Other enclosures: Copy of Assignment dated _____

The Commissioner is authorized during the prosecution of this application to charge fees that may be required or credit any overpayment of fees to Deposit Account No. 50-1215, except for payment of patent issue fees required under 37 CFR § 1.18. Please show our above-referenced number with any credit or charge to our Deposit Account. A copy of this letter is enclosed.

Please address all correspondence to J. D. Harriman II, COUDERT BROTHERS LLP, 333 South Hope Street, 23rd Floor, Los Angeles, California 90071. Telephone No. (213) 229-2900.

CERTIFICATE OF MAILING 37 CFR §1.10

"Express Mail" Mailing Label Number: EK 177253304 US

Date of Deposit: December 4, 2001

I hereby certify that this paper, accompanying documents and fee are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR §1.10 on the date indicated above and is addressed to United States Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202, Box Patent Application

Jose Ramos

LA 62635v1

Respectfully submitted,

COUDERT BROTHERS LLP

By:

J. D. Harriman II
Reg. No. 31,967